Relationship Between Hurricane Surface Winds, Surface Rain Measurements and Surface Roughness Observed With QuikSCAT

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MOTIVATION: IS THERE A SUBSTANTIAL INFLUENCE OF RAIN ON THE AIR-SEA MOMENTUM EXCHANGE IN HURRICANES?

1. CAN WE OBSERVE A RELATIONSHIP BETWEEN THE WIND MAGNITUDES IN HURRICANES AND RAINRATE?

2. WHAT IS THEIR COMBINED EFFECT ON THE SURFACE NRCS, AT Ku- BAND AND C-BAND?
Region of Observations

Map of Gulf of Mexico

NEXRAD & BUOY Locations

Locations:
- KHGX
- KCRP
- 42035
- 42019
- 42020
- 42020
I. Compare and analyze the observed rainrate (using NEXRAD) and the wind magnitude estimated by the NOAA Hurricane Research Division (AOML) near the coasts during Hurricanes Ike (2008) and Claudette (2003)

II. Present estimates of the rain-impact enhanced sea surface roughness (NRCS) observed by QuikSCAT for Ike and Claudette

III. Show a sample of the ASCAT NRCS during Hurr. Gustav (2008), as a function of rainrate and wind magnitude.
DATA SOURCES AND PROPERTIES:

a) ASCAT NRCS data, 25 km Product

b) QuikSCAT Level 2A NRCS data, H-pol and V-pol

c) Simultaneous NEXRAD 3-D Volume Reflectivity (S-band) within scatterometer beam (Inherent resolution is about 2 km)

d) Surface winds from NOAA Hurricane Research Division Analysis and buoys (Max. 1-min winds)
Hurricane Ike 0130 UTC 13 SEP 2008
Max 1-min sustained surface winds (kt)
Valid for marine exposure over water, open terrain exposure over land
Analysis based on CMAN from 0000 - 0130 z, ASOS from 0001 - 0151 z, SFMR_AIRC from 0600 - 0156 z,
SHIP from 2000 - 0100 z, SFMR42 from 2220 - 0159 z,
RAMP_TOWER from 2005 - 0154 z, WEATHER_FLOW from 2000 - 0155 z,
GOES from 2203 - 2300 z, GPCP_BFC from 2012 - 0155 z,
GIPSYD_WL150 from 2042 - 0155 z, METAR from 2000 - 0155 z,
MOORED_BUOY from 2000 - 0149 z
0130 z position interpolated from 0121. Estimator tool: mslp = 957.0 mb

Destructive Potential Ratio: 0.9, Wind: 3.8, Surge/Marine: 4.8
Data Characteristics and Methodology:

HRD Winds are provided in vector form, on a 6 km grid (lat-lon), in a 161 x 161 array.

The NEXRAD data is the lowest level of a 3-D volume; approximate H=500 meters. The horizontal cell size is a 5 km square. The rain array is 101 x 101.

The magnitude is the wind is extracted for each point in the rain-array. A 9x9 km mean rainrate is computed.
Histogram: Rainrates in Wind Range from 35-40 m/s

Histogram: Rainrates in Wind Range from 30-35 m/s

Winds from 25-30 m/s

Winds from 20-25 m/s

Winds from 15-20 m/s
Hurricane Claudette 0400 UTC 15 JUL 2003
Max 1-min sustained surface winds (kt)
Valid for marine exposure over water, open terrain exposure over land
Analysis based on AFRC (SFMX-2007 adjusted) from 0019 - 0816 z, GOES_SWIR from 0402 - 0402 z
GPSSONDE_SFC from 0239 - 0818 z; GPSSONDE_WL150 from 0134 - 0818 z;
QSCAT from 0038 - 0100 z; MOORE_EUOY from 0039 - 0750 z;
CHAN from 0100 - 0300 z; METAR from 0025 - 0805 z;
0400 z position interpolated from 0354 Vortex; msp = 989.0 mb
NEXRAD, Base Reflectivity, in dBZ, H=500 m, KHGX, 15-July-03, t=04:01 Z

5 km Resolution

Match for HRD Winds, for Hurr Claudette
Wind Speed at Points Defined in HRD Array vs Rainrate, Hurr. Claudette, 15-July-03
Hurricane Claudette

Histogram: Rainrates in Wind Range from 35-40 m/s

Normalized Histogram

Rainrate, mm/hr

Normalized Histogram

Histogram: Rainrates in Wind Range from 30-35 m/s

Normalized Histogram

Rainrate, mm/hr

Normalized Histogram

Winds from 25-30 m/s

Normalized Histogram

Rainrate, mm/hr

Normalized Histogram

Winds from 20-25 m/s

Normalized Histogram

Rainrate, mm/hr

Normalized Histogram

Winds from 15-20 m/s

Normalized Histogram

Rainrate, mm/hr

Normalized Histogram

Winds from 10-15 m/s
Studies of NRCS Changes Due to Rain Impacts

USING the *QuikSCAT Scatterometer and NEXRAD Measurements* of the 3-D Rain Volume:

1. To measure the **effect** of rain impacts on total sea surface roughness (Ku-band NRCS), *as a function* rain rate, wind speed, polarization and azimuth look direction

2. Develop a model for the **change** (increase or decrease) of the surface normalized radar cross section (NRCS for Ku-band) *as a function* of rain rate, wind speed, etc.

3. Interpret the results in terms of the interaction between wind waves and the splash products of rain impacts (ring waves, crowns and stalks)
The locations of the QScat L2A cells within a rain event near the KCRP NEXRAD. The usable H-pol and V-pol points are designated with green circles or green squares respectively. QuikSCAT cell size typically 30-by-40 km.
Box # 2, Azimuth Angle #1
Winds = 5 m/s

+ measured NRCS
☐ adjusted NRCS

Upper plot: Uncorrected and corrected (for atmospheric rain) V-pol NRCS versus mean rainrate at each QSCAT cell.

Lower Plot: Ratio of total surface NRCS to wind-driven NRCS vs. rainrate.
Box # 2, Azimuth Angle #1
Winds = 5 m/s

Upper plot: Uncorrected and corrected (for atmospheric rain) H-pol NRCS versus mean rainrate at each QSCAT cell.

Lower Plot: Ratio of total surface NRCS to wind-driven NRCS vs. rainrate.
Electromagnetic Model of the NRCS ($\sigma_{ax}$) Measured by the SeaWinds Scatterometer and Rain Impact NRCS, $\sigma_{rn0}$

Use of “x” subscript below will represent either “h” or “v” polarization

$\sigma_{ax}$ = Total measured NRCS at Satellite Receiver

$\sigma_{wdx}$ = sea surface NRCS due to wind driven roughness alone (wind-NRCS) – NO RAIN AREA

$\sigma_{rnx}$ = sea surface radar cross section due to rain impact roughness alone (rain-NRCS) -> ADDS to wind NRCS

$\sigma_{rn0}$ = model function for the normalized radar cross section due to rain impact; depends on wind magnitude and rainrate

After solving for the total surface NRCS = ($\sigma_{wdx}$ + $\sigma_{rnx}$) from a rain affected area, the wind-driven term alone is estimated from a nearby rain-free area: $\sigma_{wdx}$. Then their ratio $\sigma_{rn0}$ is computed, producing:

$$\sigma_{rn0} = \left( \frac{\sigma_{wdx} + \sigma_{rnx}}{\sigma_{wdx}} \right)$$

Personal communication from Drs. Piotr Sobieski and Christophe Craeye, of Catholic University de Louvain, Belgium
to show normalized radar cross section of rain impacting a wind driven sea
Theoretical model by Sobieski and Craeye

Measured NRCS and Atmosphere Corrected NRCS, Azim #1, Box #1, 30-May-05, KCRP

H-pol
Measured NRCS, "+"
Corrected NRCS, "square"

H pol, U10=7m/s  Weissman,Bourassa 2008, fig 9a
Theoretical model by Sobieski and Craeye

![Graph showing the ratio of Total Surface NRCS with rain to wind only NRCS for H-pol.](Image)

**H-pol, U10=7m/s**

Weissman, Bourassa 2008, fig 9b
The theoretical results from Sobieski & Craeye is a validation of the 3-D rain reflectivity model and the attenuation and volume backscattering calculations.
A fundamental study to: “.. establish a theory on the interaction between rain and water waves, based on momentum exchanges, and to assess its relative importance regarding the wave prediction models” was published by LeMehaute and Khangaonkar, J.Phys.Oceanogr, Dec. 1990

Rain horizontal momentum transfer ($\tau_R$),

$$\tau_R = \text{raindrop mass density} \times \text{rainrate} \times \text{horizontal wind speed}$$

For example: for rainrate = 25 mm/hr and $U_{10} = 25$ m/s, the ratio

$$\tau_R / \text{wind stress} = 8.4\%$$

However this number gives no direct indication about the roughness properties to which Ku-band and C-band radar responds.
Wind: 17 m/s @ 58 deg at #42035 Buoy

Azim #2 = 305 deg

Azim #1 = 205 deg
Hurricane Claudette 0400 UTC 15 JUL 2003
Max 1-min sustained surface winds (kt)

Valid for marine exposure over water, open terrain exposure over land
Analysis based on AFRC (SFMR-2007 adjusted) from 0019 - 0816 z; GOES_SWIR from 0402 - 0402 z;
GPSSONDE_SFC from 0239 - 0618 z; GPSSONDE_WL190 from 0134 - 0818 z;
OSCAT from 0058 - 0100 z; MOORED_BUOY from 0039 - 0750 z;
CMAN from 0100 - 0800 z; METAR from 0025 - 0805 z;
0400 z position interpolated from 0354 Vortex; mslp = 989.0 mb
Measured NRCS and Atmosphere Corrected NRCS, Azim #1, Box #1, 15-July-03, KHGX

Mean Rainrate, Lowest Elevation, mm/hr

H-pol

Ratio of Total Surface NRCS with Rain/Relative to Wind Only NRCS

Ratio of NRCS with and without Rain, dB

Measured NRCS, "+"
Corrected NRCS, "square"
Measured NRCS and Atmosphere Corrected NRCS, Azim #2, Box #1, 15-July-03, KHGX

Ratio of Total Surface NRCS with Rain/Relative to Wind Only NRCS

H-pol
Measured NRCS and Atmospheric Corrected NRCS, Azim #1, Box #1, 15-July-03, KHGX

Mean Rainrate, Lowest Elevation, mm/hr

V-pol

Measured NRCS, "++"
Corrected NRCS, "square"

Ratio of Total Surface NRCS with Rain/Relative to Wind Only NRCS

Ratio of NRCS with and without Rain, dB

V-pol

Mean Rainrate, Lowest Elevation, mm/hr
Measured NRCS and Atmosphere Corrected NRCS, Azim #2, Box #1, 15-July-03, KHGX

Ratio of Total Surface NRCS with Rain/Relative to Wind Only NRCS

V-pol

Mean Rainrate, Lowest Elevation, mm/hr

Ratio of NRCS with and without Rain, dB

V-pol

Mean Rainrate, Lowest Elevation, mm/hr
Summary for Hurricane Claudette:
The properties of the NRCS vs Rainrate:
For H-pol, there is a clear increase in NRCS with rainrate.
For V-pol, there is no clear increase on average, in NRCS with increasing rainrate.
Hurricane Ike 0130 UTC 13 SEP 2008
Max 1-min sustained surface winds (kt)

Valid for marine exposure over water, open terrain exposure over land

Analysis based on CMAN from 2000 - 0130 z, ASOS from 2004 - 0154 z, SFMR_AIRC from 0000 - 0154 z,
SSHF from 2000 - 0100 z, SFMR_AIRC from 2200 - 0159 z,
HRAP_TOWER from 2005 - 0154 z, WEATHER_FLOW from 2000 - 0155 z,
GOES from 2202 - 2202 z, GFS_CONV_FC from 2042 - 0155 z,
GFS_CONV_WL150 from 2042 - 0155 z, METAR from 2000 - 0155 z,
MOORED_BUOY from 2000 - 0149 z.

0130 z position interpolated from 0121 Estimator tool, mslp = 957.0 mb
Measured NRCS and Atmosphere Corrected NRCS, Azim #1, Box #2, 13-Sept-08, KHGX

H-pol

Measured NRCS, "+
Corrected NRCS, "square"

Ratio of Total Surface NRCS with Rain/Relative to Wind Only NRCS

H-pol

Ratio of NRCS with and without Rain, dB

Mean Rainrate, Lowest Elevation, mm/hr
Measured NRCS and Atmosphere Corrected NRCS, Azim #1, Box #2, 13-Sept-08, KHGX

- Mean Rainrate, Lowest Elevation, mm/hr

- Ratio of Total Surface NRCS with Rain/Relative to Wind Only NRCS

V-pol

Measured NRCS, "+"
Corrected NRCS, "square"
Mean Rainrate, Lowest Elevation, mm/hr

Measured NRCS and Atmosphere Corrected NRCS, Azim #2, Box #2, 13-Sept-08, KHGX

V-pol

Measured NRCS, "+"

Corrected NRCS, "square"

Ratio of Total Surface NRCS with Rain/Relative to Wind Only NRCS

V-pol

Ratio of NRCS with and without Rain, d\text{NRCS}

Mean Rainrate, Lowest Elevation, mm/hr
GENERAL SUMMARY

At low wind speeds (< 7 m/s), the changes in NRCS caused by rain roughness was very similar for both H-pol and V-pol

As the wind speed increases, and approaches the terminal velocity of gravity driven falling rain, the differences in NRCS for the two polarizations become progressively larger.

This indicates there is an interaction between the rain impact roughness products and the wind driven wave spectrum. It appears that rain impacts produce new roughness characteristics that have a distinct and differential polarization response.
ASCAT Studies During Hurricane Gustav

Date: Sept. 1, 2008
Time: 16:30 Z

Location: Near New Orleans, LA

NEXRAD Station: KLCH

Thanks to KNMI Scatterometer Group for NRCS 25 km data.

Will examine NRCS, Rain and Wind magnitudes
Hurricane Gustav 1630 UTC 01 SEP 2008

Max 1-min sustained surface winds (kt)

Valid for marine exposure over water, open terrain exposure over land

Analysis based on CMAN from 1239 - 1359 z; MOORED_BUOY from 1239 - 1349 z; ASOS from 1232 - 1600 z;
GPSSONCE_SFC from 1233 - 1429 z; SHIP from 1300 - 1600 z;
METAR from 1232 - 1611 z; FCMF_TOWER from 1232 - 1606 z;
GPSSONCE_WX15O from 1231 - 1429 z; SFMR from 1230 - 1452 z;
SFMR_AIRC from 1230 - 1550 z; GECAT_HRES from 1236 - 1237 z;
GOES from 1302 - 1402 z; AFC (SFMR-2007 augmented) from 1330 - 1500 z;
1630 z position extrapolated from 1533 z Vortex wind center using 315 deg @ 12 kts; mslp = 956.0 mb

Integrated Kinetic Energy: for Winds < TS force: 64.1 TJ; for Winds < Hurricane Force: 67.7 TJ
Standard Deviations of Rainrates Within ASCAT NRCS Cells; Hurr Gustav, 01-Sep-08

Dash Line Represents STD=MEAN

STD in mm/hr

Mean Rainrate - mm/hr
PROPERTIES OF ASCAT NRCS OBSERVED IN HURRICANE GUSTAV:

1. In the measurement area the winds were between 20-27 m/s

2. The average rainrates extended to 12 mm/hr. It is believed that rain volume effects: two-way attenuation and backscatter are negligible at this rain intensity level.

3. We see that the changes in observed NRCS vs rainrate shows and expected increase; but the wind variation is closely correlated.

4. There appears to be a dependence on both incidence angle and azimuth angle (FWD and BACK beams are 90 deg. apart)

5. The azimuth differences may be related to the wave field, but no measured data is available.
Why Is This Interesting To A Meteorologist or Oceanographer

The air-sea momentum exchange in hurricanes is not well understood

- Surface drag
  - Which is related to surface roughness for $U_{10} < 25 \text{ms}^{-1}$,
  - If it continues to increase of $U_{10} > 25 \text{ms}^{-1}$,
    - The drag is too great to produce strong hurricanes
    - Unless there is a proportional increase in evaporation
- However, the highest winds are found in or near rain bands
  - Therefore, it seems likely that surface drag is somehow reduced by rain
  - Rain and sea spray likely act to reduce the surface drag
  - Does rain act to increase sea spray via wave-wave interaction or is the process more direct?
- Current hurricane intensity forecasts do not consider these processes
  - Intensity forecasts are bad – but there could be other reasons
- Ocean mixing due to tropical cyclones could be important for climate
  - If the drag is reduced there will be less mixing than otherwise modeled!
  - Reduced stress results in reduced turbulent heat fluxes